

Additional requirements for GMP+ Certified* organisations to be recognised under ProTerra Standard V5.0 - Level II in the European Union, Norway, the UK, and Switzerland

*R1.0 - Feed Safety Management Systems Requirements (Version EN: 1 January 2024) + TS1.1 - Prerequisite programme (Version EN: 1 January 2024) + TS1.10 - Operational Activities + MI5.4 - GMO controlled (all needed). Despite the MI5.4 certification, a GMO monitoring plan must still be presented for ProTerra-certified lots.

This table contains the applicable requirements to ProTerra Level II organisations (Traders, Brokers and Dealers) operating in the European Union, Norway, the UK, and Switzerland, in cases where these organisations have obtained ProTerra-certified agricultural commodities, and if no physical storage takes place. All other organisations must confirm compliance with [Table 1](#). Additional efforts required for a GMP+ Certified* facility to be recognised under the ProTerra Standard V5.0 – Level II.

ProTerra Standard V5.0 Requirements	Additional actions during audit
1.1.6 CORE - Certified organisations shall ensure that suppliers of core inputs and services are compliant with the ProTerra Standard.	Confirm compliance
1.1.7 Certified organisations must obtain from non-core suppliers a formal and signed commitment that they comply with legal requirements, including those regulations associated with human rights, labour laws and environmental regulations.	Confirm compliance
1.3.1 CORE - ProTerra certified materials and products shall be identified using correct claims, ProTerra logo, sea, trustmark and certificates, according to the Guidelines and Requirements for the Use of the ProTerra Logo and Seals.	Confirm compliance
9.6.2 CORE - Pesticides listed on the WHO Classes Ia, Ib lists, Rotterdam Convention, Stockholm Convention, as well as pesticides forbidden by local, national, and regional law, shall not be used on crops.	Negative pesticide testing results are required for ProTerra-certified lots. Level II organisations should receive the relevant information from the previous operator in the supply chain. The lot must be clearly identified.
9.6.3 CORE - Certified organisations shall test products before commercialisation to ensure that residue levels of pesticides are negligible or are, at least, compliant with residue limits set in the country of import or use.	Negative pesticide testing results are required for ProTerra-certified lots. Level II organisations should receive the relevant information from the previous operator in the supply chain. The lot must be clearly identified.
9.7.9 Certified organisations shall test products bound to commercialisation for tolerance thresholds of chemical residues (e.g., pesticides) as regulated by the target market and for harmful contaminants (e.g., mycotoxins) and maintain testing records. Tests should be designed to be as relevant as possible to the specific risks involved. The frequency of tests shall be determined on the basis of a risk analysis conducted by the operation and evaluated by the certification body.	Negative pesticide testing results are required for ProTerra-certified lots. Level II organisations should receive the relevant information from the previous operator in the supply chain. The lot must be clearly identified.
10.1.2 CORE - Certified organisations shall have clear rules to avoid double counting of certified material and shall be able to demonstrate the effectiveness of such rules.	Confirm compliance
10.1.4 CORE - Certified organisations shall make information available related to ProTerra certified contracted volumes: the volume of the purchased certified product and the output of certified sold product. Organisations shall provide evidence of data consistency during the certification process (refer to the ProTerra Certification Protocol in force). Carry over of certified volumes is only possible if the company's certified status is continuous. In contrary cases, the carry over is not possible and the corresponding amount must be excluded from this balance sheet and from the certified volumes.	Confirm compliance
10.1.8 CORE - Certified organisations shall comply with Principle 5 to demonstrate that genetically modified organisms are not used.	PCR GMO testing results are required for ProTerra-certified lots . Level II organisations should receive the relevant information from the previous operator in the supply chain. The lot must be clearly identified.
10.1.9 CORE - Traders and dealers may merge or split received consignments of ProTerra-certified products. To each new merged or split consignment, a unique identification number shall be assigned, registered and clearly traceable in the Chain of Custody System used by the organisation.	Confirm compliance
10.2.1 CORE - In cases where a ProTerra-certified material is mixed with other non-certified material a mass balance shall be maintained to demonstrate that volumes of ProTerra-certified material received are equivalent to ProTerra-certified material dispatched. The non-certified material shall be tested for the absence of pesticides and evidence shall be provided that the non-ProTerra certified material does not originate from deforested areas (refer to indicator 4.1.1) and has not been produced using forced or child labour. Otherwise, the ProTerra certification status will not be granted. Additionally, the final product shall not exceed the maximum residue levels of pesticides permitted in the country of import or use. This shall be confirmed by the certified organisation by providing the analytical results of representative multi-residue analyses of pesticides for each lot supplied.	Level II organisations should receive the relevant information from the previous operator in the supply chain. The lot must be clearly identified.
10.3.1 CORE - When using Segregation CoC Model, the economic operator shall have and consistently apply, standard operating procedures for maintaining full segregation for each lot of ProTerra-certified product from non-certified material from the point of receipt to the point of transfer to the next economic operator in the supply chain.	Confirm compliance
10.3.2 CORE - When using Segregation CoC Model, precautions, including physical labelling of facilities and conveyances, must be in place to prevent co-mingling of ProTerra-certified material with other material during transport, during loading and unloading activities.	Confirm compliance