

## Additional efforts required for a GMP+ Certified\* facility to be recognised under the ProTerra Standard V5.0 – Level II

\*R1.0 - Feed Safety Management Systems Requirements (Version EN: 1 January 2024) + TS1.1 - Prerequisite programme (Version EN: 1 January 2024) + TS1.10 - Operational Activities + M15.4 - GMO controlled (all needed). Despite the M15.4 certification, a GMO monitoring plan must be presented for ProTerra-certified lots.

\*\* This does not apply to Level II European organisations (Traders, Brokers and Dealers) operating exclusively in the European Union, Norway, the UK and Switzerland in the case when these organisations have obtained ProTerra-certified agricultural commodities, and if no physical storage takes place. All other organisations must confirm compliance.

ProTerra Standard V5.0 Indicators	Additional actions during audit
1.1.1 CORE - Certified organisations shall be consistently in compliance with all applicable national and local laws, regulations, and applicable international conventions. This includes having all the necessary valid permits, authorisations and registers associated with its operations. The legal requirements of raw material production and sourcing of the countries into which the economic operator exports raw materials and/or related products must also be complied with.	Confirm compliance with all topics covered by PT V5.0, excluding food/feed safety requirements. or Not applicable **
1.1.2 Certified organisations shall document and retain records of compliance for at least 5 years or longer if required by local law.	Confirm compliance or Not applicable **
1.1.3 CORE - Certified organisations shall be aware of and understand the legal requirements that apply to them.	Confirm compliance or Not applicable **
1.1.4 Certified organisations shall make a written commitment not to offer or receive bribes or engage in any other form of corruption. Additionally, certified organisations are required to take measures against unauthorised or illegal activities that may occur within their property or associated with its supply chain.	Confirm compliance or Not applicable **
1.1.6 CORE - Certified organisations shall ensure that suppliers of core inputs and services are compliant with the ProTerra Standard.	Confirm compliance
1.1.7 Certified organisations must obtain from non-core suppliers a formal and signed commitment that they comply with legal requirements, including those regulations associated with human rights, labour laws and environmental regulations.	Confirm compliance
1.2.1 CORE - Certified organisations shall demonstrate continuous improvement regarding the ProTerra Standard requirements and/or the topics covered by it and in relation to compliance with ProTerra requirements.	Confirm continuous improvements related with topics covered by PT V5.0, excluding food/feed safety requirements (foreseen under R1.0). or Not applicable **
1.3.1 CORE - ProTerra certified materials and products shall be identified using correct claims. ProTerra logo, sea, trademark and certificates, according to the Guidelines and Requirements for the Use of the ProTerra Logo and Seals.	Confirm compliance
1.4.1 Certified organisations must implement and maintain a Management System for its supply chain that includes risk assessment and mitigation approaches and measures.	Confirm Management System for the supply chain that includes risk assessment and mitigation approaches and measures with topics covered by PT v5.0, excluding food/feed safety requirements (foreseen under R1.0). or Not applicable **
2.1.1 CORE - Certified organisations shall not use slave labour, forced labour, indentured servants, and their equivalents.	Confirm compliance or Not applicable**
2.1.3 Accompanying family members (e.g., children and spouses) shall not be required to work on the premises of the certified organisation. Organisations shall ensure that children and youngsters living on the site's premises attend school or receive schooling at home.	Confirm compliance or Not applicable**
2.1.4 CORE - Child and youngster labour, except in contexts permitted within national law and the ILO, shall not be used in certified organisations.	Confirm compliance or Not applicable**
2.1.5 CORE - Coercive disciplinary or control methods shall not be permitted. This includes corporal or mental coercion, confinement, threats of violence or other forms of physical, sexual, psychological, or verbal abuse/harassment.	Confirm compliance or Not applicable**
2.4.1 CORE - All workers and applicants shall have equal employment opportunities, equal opportunities at work, including promotion and access to training, equal remuneration for work of equal value, equal benefits and equal treatment on the job. No discrimination shall be tolerated including: any distinction, exclusion or preference made on the basis of race, colour, age, gender, sexual orientation, religion, political opinion, nationality or social origin.	Confirm compliance or Not applicable**
2.5.2 CORE - All workers living on site shall have appropriate, fairly priced, and safe food, sufficient water and housing.	Confirm compliance or Not applicable**
2.6.1 CORE - All workers shall have an employment contract or an equivalent document that is understandable by the worker and signed by the employer and employee or the employees' labour representatives. Contracts shall have at least the following information: pay rate/salary, working hours, deductions, overtime conditions, vacation time, conditions for sick and parental leave, grounds for dismissal, and period of notice for work termination. Should the cultural context, in a relevant and unquestionably manner, not consider a written contract this should be taken into consideration and is applicable only to smallholders.	Confirm compliance or Not applicable**
2.6.2 CORE - There shall be a signed labour agreement between the certified organisation and the subcontracted companies, that include clauses requiring compliance with the labour and legal rights of the employees. In those countries where there are no requirements for formal labour agreements between workers and employers, alternative documented evidence of a labour relationship must be present.	Confirm compliance or Not applicable**
2.6.4 The certified organisation shall maintain personnel records for each employee for at least 5 years or longer if required by local law.	Confirm compliance or Not applicable**
2.7.2 CORE - An ongoing program of job-related training including regular refresher training shall be provided to all workers to ensure that they are competent to conduct their work efficiently, effectively and safely. Additionally, certified organisations shall provide all employees with training regarding (a) sustainability; (b) GMOs, where applicable; (c) good agricultural practices, where applicable and (d) their specific tasks, roles and responsibilities, related to these matters.	Confirm compliance or Not applicable**
2.7.3 Certified organisations shall maintain records for all training for a minimum of 5 years, or longer if specified by local regulations.	Confirm compliance or Not applicable**
2.8.1 CORE - All workers, regardless of age or gender, shall be paid a fair, locally representative wage or salary, which shall meet or exceed the Legal Minimum Wage established for the region and sector agreement. If no Legal Minimum Wage exists, compensation shall at least meet the typical salaries usually paid in that region for the equivalent function or job.	Confirm compliance or Not applicable**
2.8.4 CORE - Employers shall not make deductions from wages for disciplinary purposes.	Confirm compliance or Not applicable**
2.8.5 A social security plan shall be established for the workers in regions where such a plan is not required by law or regulation.	Confirm compliance or Not applicable**
2.9.1 CORE - Certified organisations shall conduct a risk assessment of their operation and use the results of this assessment to guide the mitigation of the risks and the development of a health and safety programme. Additionally, it should develop and implement procedures and have the resources to deal with accident and emergency situations. Certified organisations and their employees demonstrate an awareness and understanding of health and safety matters.	Confirm compliance or Not applicable**
2.9.2 CORE - First aid shall be readily and quickly available if and when accidents or other emergencies occur at the worksite. The presence on site of emergency first aid kits should be considered where legally accepted.	Confirm compliance or Not applicable**
2.9.3 Certified organisations shall monitor and ensure compliance with its worker safety and health programme and keep records of health and safety performance, including accident statistics for the operation.	Confirm compliance or Not applicable**
2.9.4 CORE - Hazardous tasks, including the application or handling of pesticides and chemical products, shall only be conducted by qualified, and properly trained workers, taking into consideration the worker's health condition and particularities. Workers who have been injured or are ill, shall not perform activities that are detrimental to their health and safety and that can affect other workers.	Confirm compliance or Not applicable**
2.9.5 CORE - Certified organisations shall provide, and substitute when needed, all adequate and appropriate personal protective equipment (PPE) and clothing to workers. No fees shall be charged for PPEs.	Confirm compliance or Not applicable**
2.9.6 CORE - Wearing appropriate personal protective equipment (PPE) and adequate clothing is mandatory during the handling and application of toxic substances or when conducting other hazardous tasks. There is a system of warnings followed by legally permitted sanctions for workers that do not apply safety requirements or do not use the required PPE.	Confirm compliance or Not applicable**
2.10.1 CORE - Workers shall be trained in the health and safety aspects of their job, particularly those workers handling pesticides and other toxic substances or operating hazardous equipment. These workers shall be trained in how to adequately store, apply, and dispose of pesticides and other toxic substances and how to safely operate hazardous equipment, taking into account the manufacturer's instructions and the legal requirements.	Confirm compliance or Not applicable**
2.10.2 Certified organisations shall maintain records for all health and safety training for a minimum of 5 years, or longer if specified by local regulations.	Confirm compliance or Not applicable**
2.10.3 Certified organisations shall ensure that instructors delivering health and safety training, in particular training in the safe handling, storage, and application of pesticides and other toxic materials and in the safe conduction of other hazardous tasks, have the necessary technical knowledge and legal qualifications.	Confirm compliance or Not applicable**
2.11.1 Certified organisations shall comply at least with national and state regulations regarding maternity and paternity leave.	Confirm compliance or Not applicable**
2.11.3 CORE - In locations where specific regulations do not cover maternity leave, certified organisations shall establish a reasonable leave period.	Confirm compliance or Not applicable**
2.12.1 CORE - All workers shall be allowed to form and join trade unions or other collective bargaining organisations of their choice and have the right to collective bargaining.	Confirm compliance or Not applicable**
2.12.2 CORE - Certified organisations shall not impede the functions of collective bargaining organisations or workers' associations and their representatives shall have access to their members at the workplace.	Confirm compliance or Not applicable**
2.12.3 CORE - There shall be no discrimination by management or workers between unrepresented workers and workers represented by labour trade unions or associations, and there shall be no discrimination to workers representatives.	Confirm compliance or Not applicable**
6.1.2 CORE - Certified organisations shall segregate, handle, store and dispose of pollutant materials properly, having appropriate facilities to prevent spills. Management of pollutant materials shall at least comply with national laws relevant to the location of the certified operation, as stated in Principle 1 of this Standard. Measures are taken to reduce or recycle waste as much as possible. In countries where there are no relevant local laws and/or regulations, organisations should apply World Bank and IFC guidelines.	Confirm compliance or Not applicable**
8.1.1 Certified organisations should develop an inventory of its greenhouse gas emissions and develop a programme to reduce or compensate emissions. Land use change, as applicable, shall be accounted for in the inventory.	Confirm compliance or Not applicable**
8.2.1 CORE - Certified organisations shall monitor and adopt practices to minimise the use of energy from non-renewable sources (e.g., fossil fuels), and to obtain an increasing proportion of their energy from renewable sources such as, but not limited to, hydropower, solar and wind, or from biomass (crop residues) and local recycled materials.	Confirm compliance or Not applicable**
8.2.2 Large organisations shall develop and implement programmes to ensure energy-efficient operations.	Confirm compliance or Not applicable**
9.4.5 The certified organisation shall collect, organise and retain the required information in relation to the legal requirements of the importing country or as requested by the buyer for a period of 5 years from the date of placing on the market.	Confirm compliance or Not applicable**
9.6.1 CORE - Certified organisations shall avoid or reduce the use of toxic or polluting materials whenever possible and shall select inputs having the least possible toxicity and environmental impact for the required application. Agricultural practices are implemented to minimise diffuse and localised impacts on surface and groundwater quality from chemical residues, fertilisers and other potential sources.	Confirm compliance or Not applicable**
9.6.2 CORE - Pesticides listed on the WHO Classes Ia, Ib lists, Rotterdam Convention, Stockholm Convention, as well as pesticides forbidden by local, national, and regional law, shall not be used on crops.	Confirm compliance or Not applicable**  In all cases a pesticide monitoring plan is required for ProTerra-certified lots.
9.6.3 CORE - Certified organisations shall test products before commercialisation to ensure that residue levels of pesticides are negligible or are, at least, compliant with residue limits set in the country of import or use.	Confirm compliance or Not applicable**  In all cases a pesticide monitoring plan is required for ProTerra-certified lots.
9.6.5 CORE - Certified organisations shall only use pesticides on crops and for target species for which they are legally allowed, at the prescribed dosage, during the required timeframe and/or crop conditions, as defined by local laws, regulations, by manufacturers' recommendations or by professional advice. This will include a programme of pesticide rotation designed to minimise the development of pest resistance.	Confirm compliance or Not applicable**
9.7.1 CORE - Agrochemicals, including pesticides and fertilisers, shall be applied using methods that minimise harm to human health, wildlife, plant biodiversity, and water and air quality.	Confirm compliance or Not applicable**
9.7.2 CORE - Certified organisations shall not engage in pesticide spraying over bodies of water, or over preserved, protected or residential areas, in compliance with local, regional and national regulations. Pesticides shall be sprayed as per local regulations requirements in terms of distance from populated areas and bodies of water. In the absence of such regulations, pesticides shall not be sprayed within 30 meters of human populated areas, and bodies of water.	Confirm compliance or Not applicable**
9.7.7 CORE - Certified organisations shall adhere to quarantine periods, avoiding harvest until applied pesticide hazard for consumers is reduced to acceptable levels.	Confirm compliance or Not applicable**
9.7.8 CORE - Pesticides shall be handled, stored, transported, and disposed of according to manufacturers' instructions, and legal requirements, or according to procedures documented to be superior. Pesticides shall be stored and transported in original containers or in other appropriate containers clearly labelled to identify contents. Certified organisations shall follow the manufacturer's recommendations and legal requirements for disposing of agrochemical waste and empty pesticide containers, and for cleaning all application equipment. Certified organisations shall triple rinse empty pesticide containers with water, then perforate to prevent reuse, and return containers to the supplier, or to facilities designed to handle such waste.	Confirm compliance or Not applicable**
9.7.9 Certified organisations shall test products bound to commercialisation for tolerance thresholds of chemical residues (e.g., pesticides) as regulated by the target market and for harmful contaminants (e.g., mycotoxins) and maintain testing records. Tests should be designed to be as relevant as possible to the specific risks involved. The frequency of tests shall be determined on the basis of a risk analysis conducted by the operation and evaluated by the certification body.	Confirm compliance or Not applicable**  In all cases a pesticide monitoring plan is required for ProTerra-certified lots.
10.1.2 CORE - Certified organisations shall have clear rules to avoid double counting of certified material and shall be able to demonstrate the effectiveness of such rules.	Confirm compliance
10.1.4 CORE - Certified organisations shall make information available related to ProTerra certified contracted volumes: the volume of the purchased certified product and the output of certified sold product. Organisations shall provide evidence of data consistency during the certification process (refer to the ProTerra Certification Protocol in force). Carry over of certified volumes is only possible if the company's certified status is continuous. In contrary cases, the carry over is not possible and the corresponding amount must be excluded from this balance sheet and from the certified volumes.	Confirm compliance
10.1.5 CORE - Certified organisations shall maintain a product balance for inputs and outputs, correlating the amounts of certified inputs with the amounts of certified outputs, taking into account conversion factors and losses.	Confirm compliance or Not applicable**
10.1.8 CORE - Certified organisations shall comply with Principle 5 to demonstrate that genetically modified organisms are not used.	Confirm compliance  Despite the M15.4 certification a GMO monitoring plan must be for the ProTerra- certified lots.
10.1.9 CORE - Traders and dealers may merge or split received consignments of ProTerra-certified products. To each new merged or split consignment, a unique identification number shall be assigned, registered and clearly traceable in the Chain of Custody System used by the organisation.	Confirm compliance
10.2.1 CORE - In cases where a ProTerra-certified material is mixed with other non-certified material a mass balance shall be maintained to demonstrate that volumes of ProTerra-certified material received are equivalent to ProTerra-certified material dispatched. The non-certified material shall be tested for the absence of pesticides and evidence shall be provided that the non-ProTerra certified material does not originate from deforested areas (refer to indicator 4.1.1) and has not been produced using forced or child labour. Otherwise, the ProTerra certification status will not be granted. Additionally, the final product shall not exceed the maximum residue levels of pesticides permitted in the country of import or use. This shall be confirmed by the certified organisation by providing the analytical results of representative multi-residue analyses of pesticides for each lot supplied.	Confirm compliance
10.3.1 CORE - When using Segregation CoC Model, the economic operator shall have and consistently apply, standard operating procedures for maintaining full segregation for each lot of ProTerra-certified product from non-certified material from the point of receipt to the point of transfer to the next economic operator in the supply chain.	Confirm compliance
10.3.2 CORE - When using Segregation CoC Model, precautions, including physical labelling of facilities and conveyances, must be in place to prevent co-mingling of ProTerra-certified material with other material during transport, during loading and unloading activities.	Confirm compliance